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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0034  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/415,578
Filing Date	October 8, 1999
First Named Inventor	Gomez
Art Unit	2132
Examiner Name	Grigory Gurshman
Attorney Docket Number	02012.40101US

Total Number of Pages in This Submission

### ENCLOSURES (Check all that apply)

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|---|
- Remarks
1. Fee Transmittal
  2. Information Disclosure Statement
  3. Statement Under 37 CFR 1.97(e)(2)
  4. Copies of 3 References
  5. Check No. 3785 (\$180.00)

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Einar Stole, Reg. No. 47,272
Signature	
Date	September 13, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

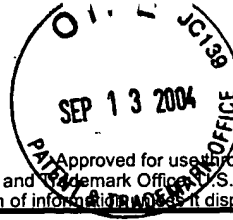
Typed or printed name

Signature

Date

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PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

**Complete if Known**

Application Number	09/415,578
Filing Date	October 8, 1999
First Named Inventor	Gomez
Examiner Name	2132
Art Unit	Grigory Gurshman
Attorney Docket No.	02012.40101

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

13-3250

02012.40101

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ )			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-20** =		X			
Multiple Dependent Claims		-3** =		X			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ )			

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

**SUBMITTED BY**

Name (Print/Type) Einar Stole

Signature

Registration No.  
(Attorney/Agent)

47,272

(Complete (if applicable))

Telephone (202) 835-7500

Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: )  
GOMEZ *et al.* )  
Application Serial No.: 09/415,578 ) Group Art Unit: 2132  
Filed: October 8, 1999 ) Examiner: Grigory Gurshman  
Title: *Interactive Virtual Library System for* )  
*Expediently Providing Automatic Updates* )  
*Of User-Desired Information From* )  
*Content Providers, At Least One Of* )  
*Which is Commercial, to Users* )

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

**Mail Stop DD**

Commissioner of Patents  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in C.F.R. § 1.56, applicants are submitting herewith copies of references listed on the attached Forms PTO/SB/08A and PTO/SB/08B for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

Applicants' submission of the Information Disclosure Statement (IDS) shall not be construed as an admission that the cited references are prior art to the present invention, or that the cited references qualify as printed publications. The submission of this IDS also shall not be construed as a representation that a prior art search has been performed.

In a related application, U.S. Application Serial No. 09/695,175, the Examiner has cited three references he consider relevant to the claimed subject matter in an Office Action dated July 1, 2004. Without conceding the relevance, import or status as prior art to the present claims, Applicants submit this Information Disclosure in accordance with 37 C.F.R. § 1.97(c).

09/14/2004 RADD01 00000022 09415578

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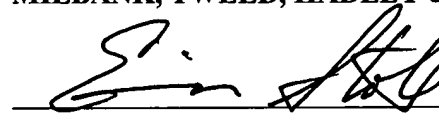
DC1:#8108029

This Information Disclosure Statement is submitted after the period specified in 37 CFR § 1.97(b) but before mailing of final rejection or notice of allowance. Accordingly, Applicants respectfully submit a statement under 37 CFR 1.97(e) and fee under CFR 37 1.17(b) The Commissioner is authorized to charge the undersigned's Deposit Account No. 13-3250 under referenced No. 02012.40101US, for any underpayment or credit any overpayment.

Respectfully submitted,

**MILBANK, TWEED, HADLEY & MCCLOY LLP**

Dated: September 13, 2004



Einar Stole, Ph.D.  
Reg. No. 47,272

**Customer No. 000038647**  
**Milbank, Tweed, Hadley & McCloy LLP**  
International Square Building  
1825 Eye Street, N.W., Suite 1100  
Washington, D.C. 20006  
Telephone: (202) 835-7526  
Facsimile: (202) 835-7586



**PATENT**  
APPLICATION SERIAL NO. 09/415,578  
ATTORNEY DOCKET NO. 02012.40101US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
GOMEZ <i>et al.</i>	)	
	)	
Application Serial No.: 09/415,578	)	Group Art Unit: 2132
	)	
Filed: October 8, 1999	)	Examiner: Grigory Gurshman
	)	
Title: <i>Interactive Virtual Library System for</i>	)	
<i>Expediently Providing Automatic Updates</i>	)	
<i>Of User-Desired Information From</i>	)	
<i>Content Providers, At Least One Of</i>	)	
<i>Which is Commercial, to Users</i>	)	

**STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)**

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U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

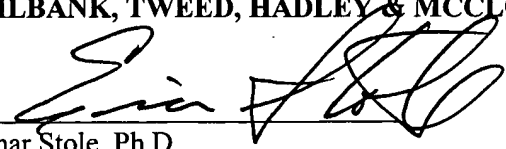
Sir:

In accordance with 37 C.F.R. § 1.97(e)(2), none of the information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney, after making a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of Information Disclosure Statement. Applicants, through their undersigned attorney, first became aware of the information contained in the information disclosure statement in an office action in a related application on July 10, 2004.

Respectfully submitted,

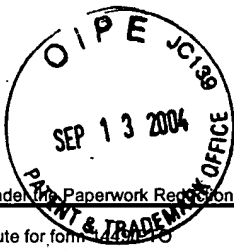
**MILBANK, TWEED, HADLEY & MCCLOY LLP**

Dated: September 13, 2004

  
Einar Stole, Ph.D.  
Reg. No. 47,272

**Customer No. 000038647**  
**Milbank, Tweed, Hadley & McCloy LLP**  
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1825 Eye Street, N.W., Suite 1100  
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PTO/SB/08B (08-03)

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Substitute for form 44-100

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/415,578
Filing Date	October 8, 2000
First Named Inventor	Gomez
Art Unit	2132
Examiner Name	Grigory Gurshman
Attorney Docket Number	02012.40101US

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Miller, Todd, "WebFeat", Library Journal, 3/15/02, <a href="http://www.libraryjournal.com/index.asp?layout=articlePrint&amp;articleID=CA200892">http://www.libraryjournal.com/index.asp?layout=articlePrint&amp;articleID=CA200892</a>	
		"Platform SDK: DLLs, Processes, and Threads", Interactive Services. <a href="http://msdn.microsoft.com/library/en-us/dllproc/base/interactive_services.asp?frame=true">http://msdn.microsoft.com/library/en-us/dllproc/base/interactive_services.asp?frame=true</a>	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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